

Applicant or Patentee: OLSEN et al. Attorney's Dkt. No. 3788-16
 Serial or Patent No.: 10/775,323
 Filed or issued: February 11, 2004
 For: PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS [37 CFR 1.27(a)(1)] - PERSON**

As the below named individual, I hereby declare that I qualify as a person as defined in 37 CFR 1.27(a)(1) for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS

described in

☐ the specification filed herewith.
☒ application Serial No. 10/775,323, filed February 11, 2004
☐ patent No. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any party that would not qualify as a person under 37 CFR 1.27(a)(1), a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name Handwriting Without Tears, Inc.
 Address 8001 MacArthur Boulevard, Cabin John, Maryland 20818
☐ Person ☒ Small Business Concern ☐ Nonprofit Organization

Name _____
 Address _____
☐ Person ☐ Small Business Concern ☐ Nonprofit Organization

Name _____
 Address _____
☐ Person ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application of patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.27(g)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Janice Z. Olsen
 NAME OF PERSON

Emily Knapton
 NAME OF PERSON

NAME OF PERSON

Janice Z. Olsen
 Signature
4/8/04
 DATE

Emily Knapton
 Signature
4-8-04
 DATE

Signature
 DATE

Applicant or Patentee: OLSEN et al. Attorney's Dkt. No. 3788-16
 Serial or Patent No.: 10/775,323
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 For: PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS [37 CFR 1.27(a)(2)] - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:
 NAME OF CONCERN Handwriting Without Tears, Inc.
 ADDRESS OF CONCERN 8001 MacArthur Boulevard, Cabin John, Maryland 20818

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.802, and in 37 CFR 1.27(a)(2), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS

by inventors OLSEN et al. described in
☐ the specification filed herewith.
☒ application Serial No. 10/775,323, filed February 11, 2004
☐ patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each person, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person who could not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Name _____
 Address _____
☐ Person ☐ Small Business Concern ☐ Nonprofit Organization

Name _____
 Address _____
☐ Person ☐ Small Business Concern ☐ Nonprofit Organization

*Separate verified statement is requested from each person, concern or organization named immediately above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this application of patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.27(g)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INDIVIDUAL SIGNING C. Eric Olsen
 TITLE IN ORGANIZATION Chief Operating Officer
 ADDRESS OF INDIVIDUAL SIGNING 8001 MacArthur Boulevard, Cabin John, Maryland 20818
 SIGNATURE C. Eric Olsen DATE 4/8/04



RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS

the specification of which (check applicable box(es)):

☐ is attached hereto

☒ was filed on February 11, 2004 as U.S. Application Serial No. 10/775,323 (Atty. Dkt. No. 3788-16)

☐ was filed as PCT International application No. on

and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number

Country

Day/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number

Day/Month/Year Filed

60/446,269

11 February 2003

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

Prior U.S./PCT Application(s):

Application Serial No.

Day/Month/Year Filed

Status: patented
pending, abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all correspondence in this matter be directed to:

Customer Number: 23117

1.	Inventor's Signature:	<u>Janice Z. Olsen</u>	Date:	<u>4/8/04</u>
	Inventor:	Janice (first) MI Olsen (last)		United States (citizenship)
	Residence: (city)	Bethesda	(state/country)	Maryland
	Mailing Address:	5207 Wilson Lane, Bethesda, Maryland		
	(Zip Code)	20814		
2.	Inventor's Signature:	<u>Emily Knapton</u>	Date:	<u>4-8-04</u>
	Inventor:	Emily (first) MI Knapton (last)		United States (citizenship)
	Residence: (city)	Omaha	(state/country)	Nebraska
	Mailing Address:	4010 North Branch Drive, Omaha, Nebraska		
	(Zip Code)	68116		

[] See attached sheet(s) for additional inventor(s) information!!



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

OLSEN et al.

Atty. Ref.: 3788-16

Serial No. 10/775,323

TC/A.U.: Not Yet Assigned

Filed: February 11, 2004

Examiner: Not Yet Assigned

For: PRE-WRITING TEACHING AID AND METHOD TO
ASSIST LEARNING WRITERS IN CREATING
LETTERS

* * * * *

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY
AND EXCLUSIVE PROSECUTION HEREAFTER BY
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

Handwriting Without Tears, Inc., being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of **Customer Number 23117** individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

Handwriting Without Tears, Inc. also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

Certificate Under 37 C.F.R. §3.73(b)

Handwriting Without Tears, Inc., a corporation having a place of business at 8001 MacArthur Boulevard, Cabin John, Maryland 20818 states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.


The undersigned (whose title is typed below) is empowered to sign this statement on behalf of Handwriting Without Tears, Inc..

Handwriting Without Tears, Inc.

4-8-04

Date

By:



Name:

C. Eric Olsen

Title:

Chief Operating Officer

Attachment: Copy of Assignment

ASSIGNMENT FOR PATENT APPLICATION
(All Rights)

3788-16

COPY

WHEREAS, Janice Z. Olsen and Emily Knapton (hereinafter ASSIGNORS), of 5207 Wilson Lane, Bethesda, Maryland 20814 and 4010 North Branch Drive, Omaha, Nebraska 68116, respectively, have invented a certain improvement in PRE-WRITING TEACHING AID AND METHOD TO ASSIST BEGINNING WRITERS IN CREATING LETTERS for which a so-entitled application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on February 11, 2004 under Serial No. 10/775,323;

WHEREAS, Handwriting Without Tears, Inc. (hereinafter ASSIGNEE), having an office and place of business at 8001 MacArthur Boulevard, Cabin John, Maryland 20818 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNORS' entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres; and the ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention;

ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention;

ASSIGNORS hereby agree to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred; and

COPY

ASSIGNORS hereby agree, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

COPY

AGREED and executed as noted below:

4/8/04
Date

Witnessed by:

Oliver Korda
Name:

4-8-04
Date

Witnessed by:

Kristi E Parsons
Name:

Janice Z. Olsen
Janice Z. Olsen

4/8/04
Date:

Emily Knapton
Emily Knapton

4/8/04
Date:

COPY